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# CUBE

User Manual

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# Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
<b>2</b>	<b>Installation</b>	<b>4</b>
2.1	Platforms . . . . .	4
2.2	Installing CUBE . . . . .	5
2.3	Installing CUBE Library only . . . . .	5
2.4	License . . . . .	5
2.5	Libraries Required . . . . .	6
<b>3</b>	<b>Using the Display</b>	<b>6</b>
3.1	Basic Principles . . . . .	6
3.2	GUI Components . . . . .	8
3.2.1	Tree Browsers . . . . .	8
3.2.2	Menu Bar . . . . .	8
3.2.3	Color Legend . . . . .	10
3.2.4	Status Bar . . . . .	10
<b>4</b>	<b>Creating CUBE Files</b>	<b>11</b>
4.1	CUBE API . . . . .	11
4.1.1	Metric Hierarchy . . . . .	11
4.1.2	Call-Tree Hierarchy . . . . .	12
4.1.3	Location Hierarchy . . . . .	12
4.1.4	Severity Mapping . . . . .	13
4.2	Typical Usage . . . . .	14

## 1 Introduction

CUBE (CUBE Uniform Behavioral Encoding) is a generic presentation component suitable for displaying a wide variety of performance metrics for parallel programs including MPI [1] and OpenMP [2] applications. CUBE allows interactive exploration of a multidimensional performance space in a scalable fashion. Scalability is achieved in two ways: hierarchical decomposition of individual dimensions and aggregation across different dimensions. All performance metrics are uniformly accommodated in the same display and thus provide the ability to easily compare the effects of different kinds of performance behavior.

CUBE has been designed around a high-level data model of performance behavior called the CUBE *performance space*. The CUBE performance space consists of three dimensions: a set of metrics  $M$ , a set of call paths  $C$ , and a set of locations  $L$ . The metric dimension contains performance metrics, such as communication time or cache misses, the call path dimension contains all the call paths forming the call tree of the program, and the location dimension contains all the control flows of the program, which can be processes or threads depending on the parallel programming model. Each point  $(m, c, l)$  of the space can be mapped onto a number representing the actual measurement for metric  $m$  while the program was executing call path  $c$  at location  $l$ . This mapping is called the *severity* of the performance space.

Each dimension of the performance space is organized in a hierarchy. First, the metric dimension is organized in an inclusion hierarchy, for example, execution time includes communication time. Second, the call-path dimension is organized in a call-tree hierarchy, since every call path is a node in the call tree. Finally, the location hierarchy is organized in a multi-level hierarchy consisting of the levels grid, machine, SMP node, process, and thread.

CUBE also includes a library to read and write instances of the previously described data model in the form of an XML file. The file representation is divided into a metadata part that describes the specific structure of the different dimensions and a data part that contains the severity numbers onto which the elements of the performance space are mapped.

The display component can load such a file and display the different dimensions of the performance space using three coupled tree browsers (Figure 1). The browsers are connected so that the user can view one dimension with respect to another dimension. For example, the user can click on a particular metric and see its distribution across the call tree. In addition, the display is augmented with a source-code display that shows the exact position of a call site in the source code.

The following sections will explain how to install CUBE, how to use the display, and also how to write CUBE files.

## 2 Installation

CUBE is available as a source-code distribution. You can use the link <http://icl.cs.utk.edu/> to download CUBE. There are two options to install CUBE: full installation and installation of the library only. The current version of CUBE 1.0 is able to run on all major UNIX variants.

### 2.1 Platforms

CUBE currently supports all major UNIX platforms on which wxWindows and libxml2 are available. Note that libxml2 or wxWindows may require a specific compiler on some plat-

forms.

## 2.2 Installing CUBE

The full installation includes the CUBE library to write a CUBE file, and the CUBE display component to display its contents.

1. `gunzip cube.tar.gz | tar xvf`
2. `cd cube-xxxx`
3. Edit `Makefile.defs`
  - Set variable `PREFIX` to your desired installation path.
  - Choose an appropriate compiler for your system (e.g., `gcc` or `xlc`).
4. `make`
5. `make install`

## 2.3 Installing CUBE Library only

The partial installation will only install the CUBE library on your system. This is intended for users who just need to write their performance data to a CUBE file, but don't need to display it on their machines.

1. Same as steps of 1 to 3 described in the above section.
2. `make lib`
3. `make install-lib`

## 2.4 License

This software is free but by downloading and using it you automatically agree to comply with the license agreement. You can read the file `LICENSE` in the distribution for precise wording.

## 2.5 Libraries Required

Both libraries listed below are necessary for using the CUBE display component. For those users who need the CUBE library only, only libxml2 is required to be installed.

- **LIBXML2:** an XML C parser and toolkit developed for the Gnome project. It is preinstalled on many systems. Please refer to the libxml2 web page for details:  
<http://xmlsoft.org/>
- **WXWINDOWS:** a cross-platform C++ framework for writing advanced GUI applications using native controls. Please refer to the wxWindows web page for details:  
<http://www.wxwindows.org/>

## 3 Using the Display

This section explains how to use the CUBE display component. After a brief description of the basic principles, different components of the GUI will be described in detail.

### 3.1 Basic Principles

The CUBE display consists of three tree browsers, each of them representing a dimension of the performance space (Figure 1). The left tree displays the metric dimension, the middle tree displays the call-tree dimension, and the right tree displays the location dimension. The nodes in the metric tree represent performance metrics, the nodes in the call-tree dimension represent call paths, and the nodes in the location dimension represent either a group of machines, called a *grid*, a machine, a node, a process, or a thread.

Users can perform two types of actions: selecting a node or expanding/collapsing a node. At any time, there are two nodes selected, one in the metric tree and the other in the call tree. It is not possible to select a node in the location tree.

Each node is associated with a metric value, which is called the *severity* and is displayed simultaneously using a numerical value as well as a colored square. Colors enable the easy identification of nodes of interest even in a large tree, whereas the numerical values enable the precise comparison of individual values. A value shown in the metric tree represents the sum of a particular metric for the entire program, that is, across all call paths and all locations. A value shown in the call tree represents the sum of the selected metric across all locations for a particular call path. And a value shown in the location tree represents the selected metric for the selected call path and a particular location. Briefly, a tree is always an aggregation of all of its neighbor trees to the right.

Note that all the hierarchies in CUBE are inclusion hierarchies, meaning that a child node represents a part of the parent node. For example, the metric hierarchy might display cache

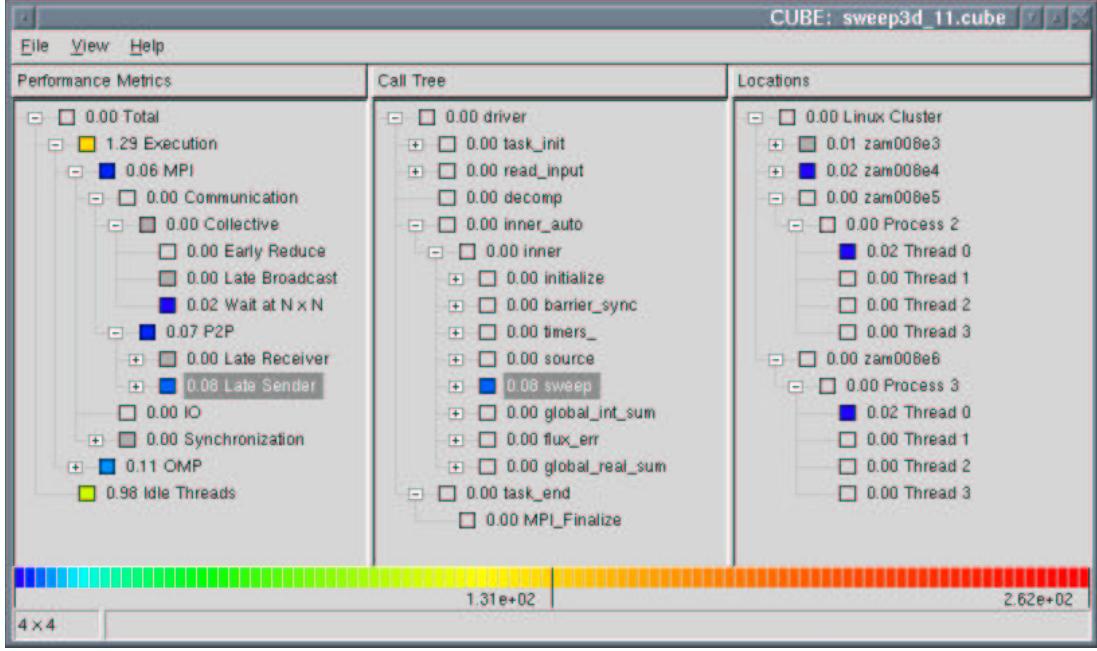


Figure 1: CUBE display window.

misses as a child node of cache accesses because the former event is a subset of the latter event. Similarly, in Figure 2 the call path *main* contains the call paths *main-foo* and *main-bar* as child nodes because their execution times are included in their parent's execution time.

The severity displayed in CUBE follows the principle of *single representation*, that is, within a tree each fraction of the severity is displayed only once. The purpose of this display strategy is to have a particular performance problem to appear only once in the tree and, thus, help identify it more quickly. Therefore, the severity displayed at a node depends on the node's state, whether it is expanded or collapsed. The severity of a collapsed node represents the whole subtree associated with that node, whereas the severity of an expanded node represents only the fraction that is not covered by its descendants because the severity of its descendants is now displayed separately. We call the former one *inclusive* severity, whereas we call the latter one *exclusive* severity.



Figure 2: Node of the call tree in collapsed or expanded state.

For instance, a call tree may have a node *main* with two children *main-foo* and *main-bar* (Figure 2). In the collapsed state, this node is labeled with the time spent in the whole

program. In the expanded state it displays only the fraction that is spent neither in *foo* nor in *bar*. Note that the label of a node does not change when it is expanded or collapsed, even if the severity of the node changes from exclusive to inclusive or vice versa.

## 3.2 GUI Components

The GUI consists of a menu bar, three tree browsers, a color legend, and a status bar. In addition, each tree browser provides a context menu for each node, which can be used, for example, to launch a source-code dialog.

### 3.2.1 Tree Browsers

The tree browsers are controlled by the left and right mouse buttons. The left mouse button is used to select or expand/collapse a node. The right mouse button is used to pop up a context menu with node-specific information for either a metric or a call path. For call paths and source-code entities a source-code dialog is provided.

A label in the metric tree shows a metric name. A label in the call tree shows the last callee of a particular call path. If you want to know the complete call path, you must read all labels from the root down to the particular node you are interested in. After switching to the region-profile mode (see below), labels in the middle tree denote modules or regions depending on their levels. A label in the location tree shows the name of its respective location entity, such as a node name or a machine name. Processes and threads are usually identified by a number, but it is possible to give them specific names when creating a CUBE file.

Note that both the metric tree and the call tree can have multiple root nodes. If there is only one machine in the location tree, the grid level is not displayed. Similarly, the thread level of single-threaded applications is hidden.

### 3.2.2 Menu Bar

The menu bar consists of three menus, a file menu, a view menu, and a help menu.

#### File

The file menu can be used to open and close a file and to exit CUBE.

#### View

The view menu can be used to switch from the call-tree mode to the region-profile mode or to change to another way of severity representation (Figure 3).

After opening a file the middle pane shows the call tree of the program. However, a user might wish to know which fraction of a metric can be attributed to a particular region regardless of from where it was called. In this case, the user can switch from the



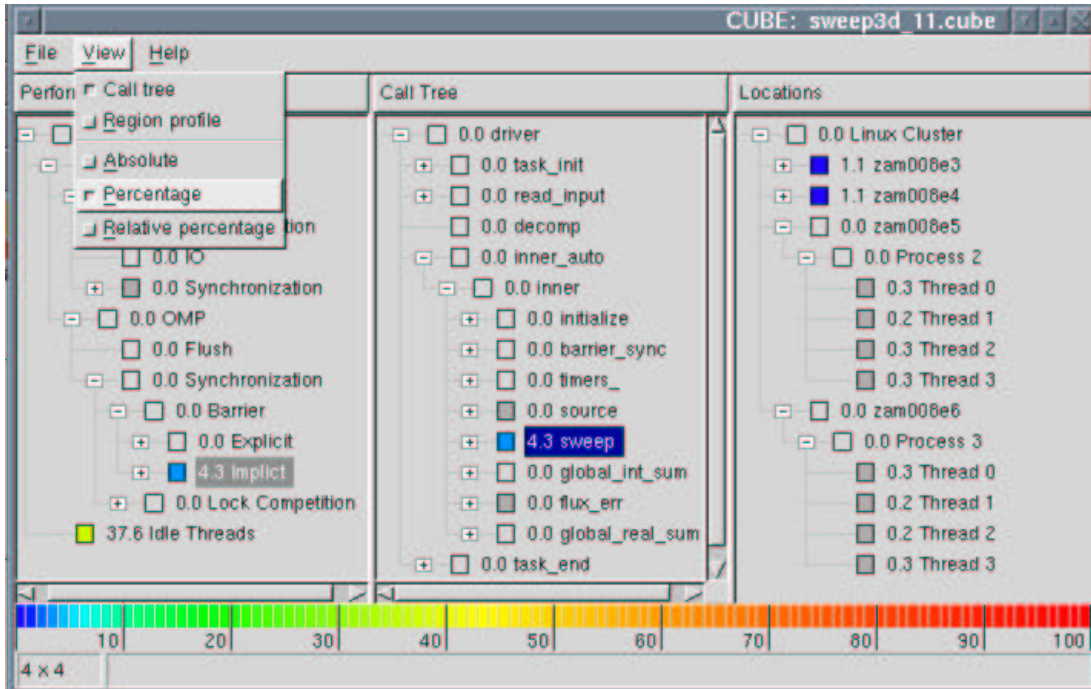


Figure 3: CUBE menu bar.

call-tree mode (default) to the region-profile mode (Figure 4). In the region-profile mode, the call-tree hierarchy is replaced with a source-code hierarchy consisting of three levels: module, region, and subregions. The subregions, if applicable, are displayed as a single child node labeled *subregions* representing all regions called from a particular region. In this way, the user is able to see which fraction of a metric is associated with a region exclusively without its subregions (i.e., its callees).

The severity can be displayed in three different ways: as an absolute value (default), as a percentage, and as a relative percentage. The absolute value is just the value as it was measured. When displaying a value as a percentage, the percentage refers to the value shown at the root of the metric hierarchy in collapsed state.

However, both modes have the disadvantage that values can become very small the more you go to the right, since aggregation occurs from right to left. To avoid this problem, the user can switch to relative percentages. Then, a percentage in the right or middle tree always refers to the selection in the neighbor to the left, that is, a percentage in the location tree refers to the selected call path and a percentage in the call tree refers to the selected metric instead of its root metric. Note that in this mode the percentages in the middle and right tree always sum up to one hundred percent. Figure 4 shows a region profile with relative percentages.

Note that in the absolute mode, all values are displayed in scientific notation. How-

ever, to prevent cluttering the display only the mantissa is shown at the trees, the exponent is shown at the color legend.

## Help

Currently, the help menu provides only an about dialog with release information.

### 3.2.3 Color Legend

The color is taken from a spectrum ranging from blue to red representing the whole range of possible values. To avoid an unnecessary distraction, insignificant values close to zero are displayed in dark gray. Zero values just have the background color. Depending on the severity representation, the color legend shows a numeric scale mapping colors onto values.

### 3.2.4 Status Bar

The first column showing  $m \times n$  indicates that there are  $m$  processes and for each process there are at most  $n$  threads in the execution.

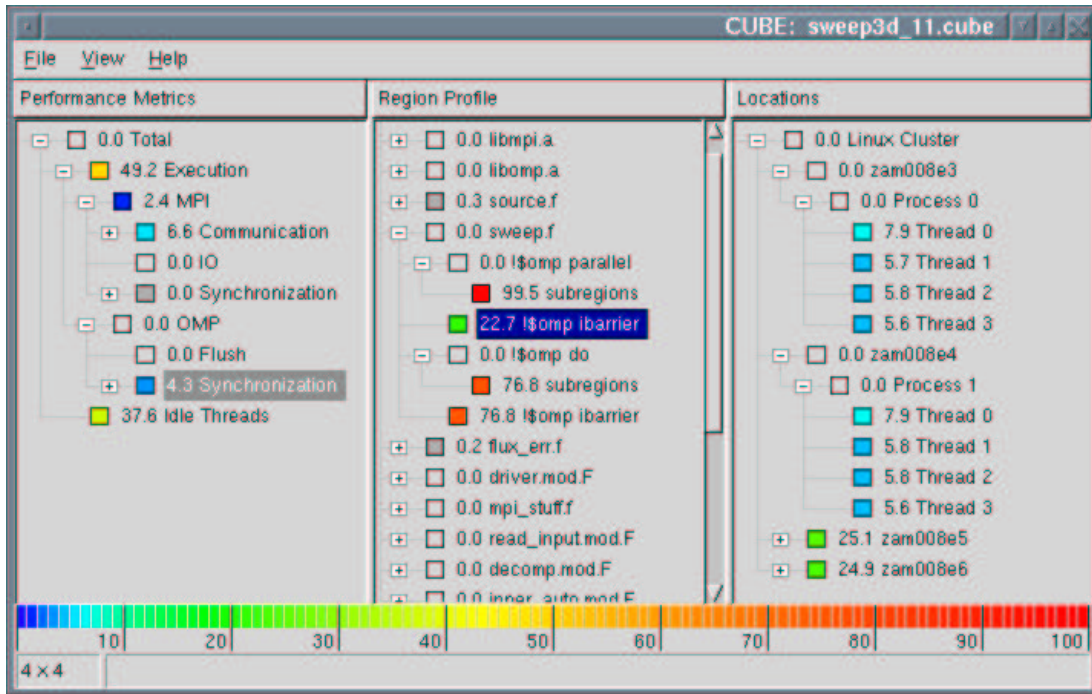


Figure 4: CUBE region profile.

## 4 Creating CUBE Files

The CUBE data format in an XML instance [3]. The corresponding XMLSchema specification [4] can be found in `doc/cube.xsd` in the CUBE distribution. The CUBE library provides an interface to create CUBE files. It is a simple class interface and includes only a few methods. This section first describes the CUBE API and then presents a simple C++ program as an example of how to use it.

### 4.1 CUBE API

The class interface defines a `class Cube`. The class provides a default constructor and thirteen methods. The methods are divided into four groups. The first three groups are used to define the three dimensions of the performance space and the last group is used to enter the actual data. In addition, an output operator `<<` to write the data to a file is provided.

The methods used to create the different entities of the performance space always return an identifier which can be used for further reference. Each entity has a different identifier domain  $\{0, \dots, n - 1\}$ .

#### 4.1.1 Metric Hierarchy

This group refers to the metric dimension of the performance space. It consist of a single method used to build metric trees. Each node in the metric tree represents a performance metric. Metrics have different units of measurement. The unit can be either “sec” (i.e., seconds) for time based metrics, such as execution time, or “occ” (i.e., occurrences) for event-based metrics, such as floating-point operations. During the establishment of a metric tree, a child metric is usually more specific than its parent, and both of them have same unit of measurement. Thus, a child performance metric has to be a subset of its parent metric (e.g., system time is a subset of execution time).

```
int def_met(string name, string uom, string descr,
            int parent_id)
```

Defines a new performance metric with metric name `name` and description `descr`. `uom` specifies the unit of measurement, which is either “sec” or “occ”. `parent_id` is the identifier of a previously created metric which will be the new metric’s parent. To define a root node, use `-1` instead.

### 4.1.2 Call-Tree Hierarchy

This group refers to the call-tree dimension of the performance space. The entities present in this dimension are *module*, *region*, *call site*, and *call-tree node* (i.e., call paths). A module is a source file, which can contain several code regions. A region can be a function, a loop, or a basic block. Each region can have multiple call sites from which the control flow of the program enters a new region. Although we use the term call site here, any place that causes the program to enter a new region can be represented as a call site, including loop entries. Correspondingly, the region entered from a call site is called *callee*, which might as well be a loop. Every call-tree node points to a call site. The actual call path represented by a call-tree node can be derived by following all the call sites starting at the root node and ending at the particular node of interest. Therefore, before defining a call-tree node, the necessary call sites, callees, and modules have to be defined.

```
int def_module(string name)
```

Defines a new module with module name `name`, which could be either a complete path or a file name.

```
int def_region(string name, long begln, long endl,
               string descr, int mod_id)
```

Defines a new region with region name `name` and description `descr`. The region is located in the module `mod_id` and exists from line `begln` to line `endl`.

```
int def_csite(int mod_id, int line, int callee_id)
```

Defines a new call site which is located at the line `line` of the module `mod_id`. The call site calls the callee (i.e., a previously defined region) whose identifier is equal to `callee_id`.

```
int def_cnode(int csite_id, int parent_id)
```

Defines a new call-tree node referring to the call site `csite_id`. `parent_id` is the identifier of a previously created call-tree node which will be the new one's parent. To define a root node, use `-1` instead.

### 4.1.3 Location Hierarchy

This group refers to the location dimension of the performance space. The entities present in this dimension are *grid*, *machine*, *node*, *process*, and *thread*, which populate five levels

of the location hierarchy in the given order. That is, the first level has one grid, the second level has multiple machines, and so on. Finally, the last (i.e., leaf) level is populated only by threads. A location tree is built in a top-down way starting with a grid. Note that even if every process has only one thread, users still need to define the thread level. Note that different from the previous two dimension, the location dimension can have only one root, that is, one grid.

```
int def_grid(string name)
```

Defines a grid which has the name `name`. Note that only one grid can be defined.

```
int def_mach(string name, int grid_id)
```

Defines a new machine which has the name `name` and which belongs to the grid `grid_id`.

```
int def_node(string name, int mach_id)
```

Defines a new (SMP) node which has the name `name` and which belongs to the machine `mach_id`.

```
int def_proc(string name, int node_id)
```

Defines a new process which has the name `name` and which belongs to the SMP node `node_id`.

```
int def_thrd(string name, int proc_id)
```

Defines a new thread which has the name `name` and belongs to the process `proc_id`.

#### 4.1.4 Severity Mapping

After the establishment of the three dimensional performance space, users can assign severity values to points of the the space. Each point is identified by a tuple (`met_id`, `cnode_id`, `thrd_id`). Note that the value should refer exclusively to the call path denoted by `cnode_id` and not to its children. Taking Figure 2 as an example, this mean that if it refers to *main* then it does not include *main-foo* or *main-bar*. The default severity value for the data points left undefined is zero. Thus, users only need to define non-zero data points.

```
void set_sev(int met_id, int cnode_id, int thrd_id,
            double value)
```

Assigns a value to the point (met\_id, cnode\_id, thrd\_id).

```
void add_sev(int met_id, int cnode_id, int thrd_id,
            double value)
```

Adds a value to the existing value of point (met\_id, cnode\_id, thrd\_id).

```
void sub_sev(int met_id, int cnode_id, int thrd_id,
            double value)
```

Subtracts a value from the existing value of point (met\_id, cnode\_id, thrd\_id).

## 4.2 Typical Usage

A simple C++ program is given to demonstrate how to use the CUBE write interface. Figure 5 shows the corresponding CUBE display. The source code of the target application is provided in Figure 6.

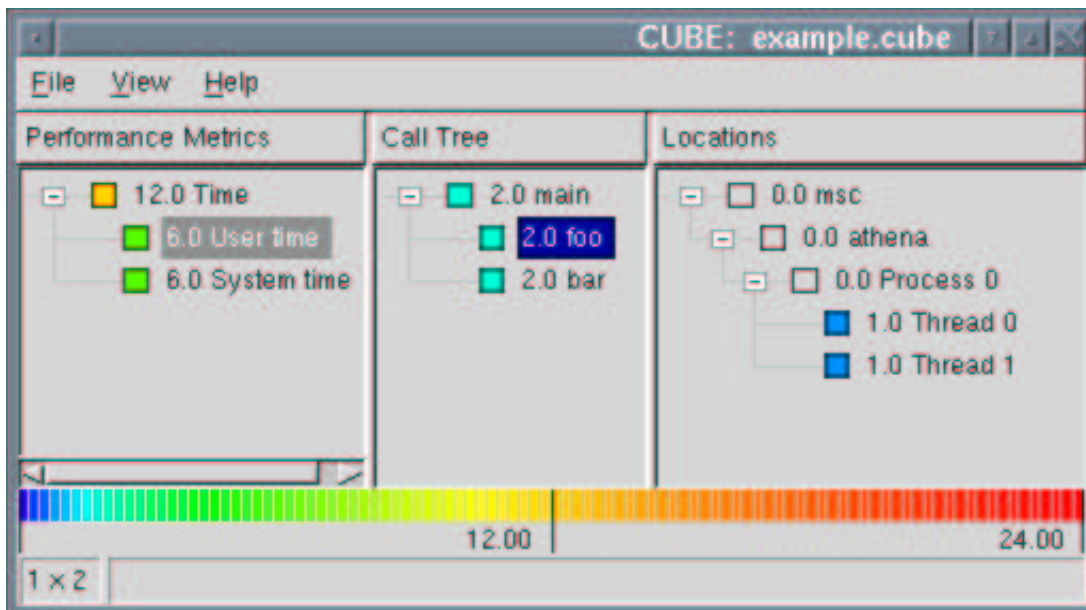


Figure 5: Display of example.cube

```

1      void foo() {
        ...
10     }
11     void bar() {
        ...
20     }
21     int main(int argc, char* argv) {
        ...
60     foo();
        ...
80     bar();
        ...
100    }

```

Figure 6: Target-application source code example.c

```

// A C++ example using CUBE write interface
int main(int argc, char* argv[]) {
    // Declarations (all int)
    int id;
    ...

    Cube cube;

    // Build metric tree
    id0 = cube.def_met("Time", "sec", "root node", -1);
    id1 = cube.def_met("User time", "sec", "2nd level", id0);
    id2 = cube.def_met("System time", "sec", "2nd level", id0);

    // Build call tree
    id = cube.def_module("/ICL/CUBE/example.c");
    id0 = cube.def_region("main", 21, 100, "1st level", id);
    id1 = cube.def_region("foo", 1, 10, "2nd level", id);
    id2 = cube.def_region("bar", 11, 20, "2nd level", id);
    id3 = cube.def_csite(id, 21, id0);
    id4 = cube.def_csite(id, 60, id1);
    id5 = cube.def_csite(id, 80, id2);
    id0 = cube.def_cnode(id3, -1);
    id1 = cube.def_cnode(id4, id0);
    id2 = cube.def_cnode(id5, id0);

```

```

// Build location tree
id0 = cube.def_grid("Grid in ICL");
id0 = cube.def_mach("msc", id0);
id0 = cube.def_node("athena", id0);
id0 = cube.def_proc("Process 0", id0);
cube.def_thrd("Thread 0", id0);
cube.def_thrd("Thread 1", id0);

// Severity mapping
cube.set_sev(0, 0, 0, 4);
cube.set_sev(0, 0, 1, 4);
cube.set_sev(0, 1, 0, 4);
cube.add_sev(0, 1, 1, 4);
cube.add_sev(0, 2, 0, 4);
cube.add_sev(0, 2, 1, 4);
cube.set_sev(1, 0, 0, 1);
cube.set_sev(1, 0, 1, 1);
cube.set_sev(1, 1, 0, 1);
cube.add_sev(1, 1, 1, 1);
cube.add_sev(1, 2, 0, 1);
cube.add_sev(1, 2, 1, 1);
cube.set_sev(2, 0, 0, 1);
cube.set_sev(2, 0, 1, 1);
cube.set_sev(2, 1, 0, 1);
cube.add_sev(2, 1, 1, 1);
cube.add_sev(2, 2, 0, 1);
cube.add_sev(2, 2, 1, 1);

// Output to a cube file
ofstream out;
out.open("example.cube");
out << cube;
}

```

## References

- [1] Message Passing Interface Forum. *MPI: A Message Passing Interface Standard*, June 1995. <http://www.mpi-forum.org>.
- [2] OpenMP Architecture Review Board. *OpenMP Fortran Application Program Interface - Version 2.0*, November 2000. <http://www.openmp.org>.



- [3] World Wide Web Consortium. *Extensible Markup Language (XML) 1.0 (Second Edition)*, October 2000. <http://www.w3.org/TR/REC-xml>.
- [4] World Wide Web Consortium. *XML Schema Part 0, 1, 2*, May 2001. <http://www.w3.org/XML/Schema#dev>.